Annex to NCN Council Resolution No 67/2021 of 8 July 2021 amending the terms of the OPUS call

The terms of the OPUS call for research projects carried out by the National Science Centre

I. Addressees of the call

- §1. The call is addressed at researchers at any stage of their research career who intend to carry out research projects.
- §2. Research projects may be carried out in cooperation with foreign research teams under the Weave programme pursuant to the Lead Agency Procedure¹, hereinafter referred to as the "LAP cooperation", with the NCN acting as the lead agency, if provided for in the call announcement;
- §3. Research projects may be carried out with the use of large-scale international research equipment by the Polish research teams or in cooperation with partners from foreign research institutions that do not apply for project funding under the LAP cooperation.

II. Eligible applicants

- §4. Proposals for funding of research projects may be submitted by entities referred to in Article 27 (1) of the Act on the National Science Centre of 30 April 2010 (consolidated text in Journal of Laws 2019, item 1384, hereinafter referred to as the "NCN Act"), hereinafter referred to as the "applicants".
- §5. Proposals may also be filed by applicants, for which funding of the research project will constitute state aid granted pursuant to the Regulation of the Minister of Science and Higher Education issued pursuant to Article 37 (2) of the NCN Act.
- §6. Where the applicant is a group of Polish entities defined in Article 27 (1) (2) of the NCN Act, the proposal shall be submitted by the leader. The principal investigator's employer shall act as the leader of the group of entities.
- §7. Where the applicant is a natural person, the research project cannot be carried out by a group of entities or entity for which funding of the research project will constitute state aid.
- §8. An entity that is under receivership, in liquidation or subject to bankruptcy proceedings cannot be an applicant or host institution for a research project.

III. Terms of entry

§9. The call shall be open to research project funding proposals, hereinafter referred to as the "OPUS proposals" or proposals for funding of research projects within the framework of the LAP cooperation, hereinafter referred to as the "OPUS LAP proposals", subject to §2. §10. The following OPUS proposals may be submitted under the call:

¹ Cooperation carried out pursuant to the Lad Agency Procedure ("LAP cooperation") means cooperation between research funding institutions where funding proposals may be submitted under national calls launched thereby, for research projects to be carried out jointly with foreign research teams that apply for parallel project funding to the institutions involved in the LAP Cooperation, i.e. partner institutions. An institution in charge of merit-based evaluation of proposals under its national call acts as the lead agency.

- to carry out research that neither is nor was funded by the NCN or from any other sources,
- 2) which comprise basic research laid down in Article 2 (1) of the NCN Act in any of the academic disciplines defined in the NCN panels adopted by the NCN Council,
- 3) which cover a research project planned for a period of 12, 24, 36 or 48 months,
- 4) which comply with the Regulations on awarding funding for research tasks funded by the National Science Centre as regards research projects, adopted by the NCN Council, hereinafter referred to as the "Regulations" and
- 5) which includes the documents and information specified in § 11.

§11. OPUS Proposals must include:

- 1) research project title (in Polish and in English),
- 2) project's abstract (up to 4500 characters in English),
- 3) project's abstract for the general public (1 page in Polish and 1 page in English),
- 4) research project description:
 - a. short description (up to 5 pages in English),
 - b. full description (up to 15 pages in English),

Descriptions shall contain the scientific goal of the project, significance of the project, general concept and work plan, research methodology and project literature applicable to the subject of the project,

- 5) work plan (in Polish and in English) presenting research tasks,
- 6) information on the research team (in English), including:
- a) information on the principal investigator, including:
 - academic and research career;
 - 1-10 most important papers published or accepted for publication (letter of a acceptance required) in the proposal submission year and over a period of 10 years prior to the proposal submission year², with 1-3 of them attached as PDF files;

For research in art, 1-10 most important papers published or accepted for publication (letter of acceptance required) or artistic achievements and achievements in research in art in the proposal submission year or over a period of 10 years prior to the proposal submission year². If more than one paper is listed, 1-3 of them should be attached as PDF files;

- information on research project management³ or other funding awarded under NCN calls or other national or international calls in the proposal submission year or within 10 years prior to proposal submission year²;
- description of the most important research achievement;
- research experience gained in Poland or abroad;
- the most important national or international distinctions for research and/or other activity in research in art;
- b) if a person complying with the Regulations will be employed as a senior researcher, information including:
 - their academic and research track record;
 - 1-10 most important papers published or accepted for publication (letter of acceptance required) in the proposal submission year or over a period of 10 years prior to the proposal submission year²;
 For research in art, 1-10 most important papers published or accepted for publication (letter of acceptance required) or artistic achievements

² This period can be extended by long-term (over 90 days) evidenced sickness benefits or physiotherapy benefits on account of unfitness for work. This period may be additionally extended by the number of months of child care leave granted pursuant to the Labour Code and in the case of women, by 18 months for every child born or adopted, whichever option is more advantageous.
³ Research project management (acting as a principal investigator) also means management / coordination of the work of a research group in international research projects or programmes.

- and achievements in research in art in the proposal submission year or over a period of 10 years prior to the proposal submission year²;
- research experience gained in Poland or abroad;
- other significant research achievements;
- justification of their employment as a senior researcher;
- c) information on the required qualifications and scope of work planned by each investigator in the research project;
- 7) research project budget (in English) drafted pursuant to the Regulations;
- 8) in the case of research projects carried out with the use of large-scale international research infrastructure by the Polish research teams or in cooperation with partners from foreign research institutions not applying for funding for that purpose within the framework of the LAP cooperation, information on international cooperation as well as description of benefits that may result from such cooperation (*in English*):
- 9) information on the data management plan concerning data generated or used in the course of a research project, as required by the proposal (*in English*);
- 10) information on the ethical aspects of the project, including any consents, opinions, permits and/or approvals necessary to carry out the project pursuant to generally applicable laws and best practices adopted for a specific academic discipline, as required by the proposal (in English);
- 11) in the case of research projects which include clinical trials with a medicinal product or a medical device, a detailed justification of the non-commercial nature of the trials (*in English*);
- 12) in the case of research projects covering research being performed or completed by the principal investigator, or with respect to which the principal investigator applies for funding under other NCN calls or from other sources, description of similar research tasks with reasons justifying the need to have them funded under the project (in English);
- 13) in the case of research projects to be carried out in host institutions that do not receive any operating support for research, information on research carried out over the last 2 years, together with a list of publications and information on research equipment and other instruments crucial to research (*in English*);
- 14) in the case of research projects to be carried out by a group of Polish entities, an agreement on collaboration for the purposes of completion of the requested research project, as required by the proposal (*in Polish*);
- 15) administrative declarations by the principal investigator and the host institution for the project, as required by the proposal and
- 16) in the case of entities for which project funding will constitute state aid, a detailed breakdown of costs as well as other documents and declarations related to the proposal for state aid via the NCN, as required by the proposal (*in Polish*).
- §12. The following OPUS LAP proposals may be submitted to the call, subject to §2 and provided that they adhere to the terms and conditions of the NCN Council and partner institutions laid down in the call announcement:
 - 1) to carry out research that has not been funded by the NCN or from other sources;
 - 2) that involve basic research within the meaning of Article 2 (1) of the NCN Act in any of the disciplines covered by the NCN panels adopted by the NCN Council;
 - 3) that involve research based on equal and complementary contribution by research teams, carried out in bilateral or trilateral cooperation between Polish research teams and research teams applying funding to their respective partner institutions within the framework of the LAP Cooperation;
 - 4) under which, as agreed by the research teams involved in the project, the Polish research team shall act as the coordinating applicant submitting the OPUS LAP proposal;

- 5) under which each research team applying for funding under the LAP cooperation is managed by a principal investigator, whereas the Polish part of the project is managed by the principal investigator of the Polish research team;
- 6) which cover a research project planned for a period of 24, 36 or 48 months;
- 7) which comply with the Regulations; and
- 8) which include the documents and information laid down in **Błąd! Nie można** odnaleźć źródła odwołania..
- §13. OPUS-LAP proposals must include the following elements, including all research tasks to be carried out jointly by the Polish research team and foreign research teams applying for funding to their respective partner institutions under the LAP cooperation:
 - 1) research project title (in Polish and in English);
 - 2) project's abstract (up to 4500 characters in English);
 - 3) project's abstract for the general public (1 page in Polish and 1 page in English),
 - 4) research project description:
 - a) short description (up to 5 pages in English);
 - b) full description (up to 15 pages in English);
 - The description shall contain the scientific goal of the project, significance of the project, general concept and work plan, research methodology and project literature applicable to the subject of the project.
 - 5) work plan presenting research tasks to be performed by the Polish research team (*in Polish and in English*) and by each foreign research team applying for funding to their respective partner institutions under the LAP cooperation (*in English*);
 - 6) information on the research teams involved in the project under the LAP cooperation (*in English*), including:
 - a) information on the principal investigators, including:
 - academic and research career;
 - 1-10 most important papers published or accepted for publication (letter of a acceptance required) in the proposal submission year and over the period of 10 years prior to the proposal submission year², with 1-3 of them attached as PDF files;
 - For research in art, 1-10 most important papers published or accepted for publication (letter of acceptance required) or artistic achievements and achievements in research in art in the proposal submission year or over the period of 10 years prior to the proposal submission year². If more than one paper is listed, 1-3 of them should be attached as PDF files;
 - information on research project management³ or other research funding awarded under NCN calls or other national or international calls in the proposal submission year or within 10 years prior to proposal submission year²;
 - description of the most important research achievement;
 - research experience gained in Poland or abroad;
 - the most important national or international distinctions for research and/or other activity in research in art;
 - b) information on the required qualifications and scope of work planned by each investigator in the research project;
 - 7) research project budget of the Polish research team drafted pursuant to the Regulations and research project budget of the foreign research team, drafted pursuant to the rules of the respective partner institution (*in English*);
 - 8) in the case of research projects to be carried out pursuant to the LAP cooperation or with the use of large-scale international research equipment by the Polish research teams or in cooperation with partners from foreign research institutions, information on international cooperation as well as description of benefits that may result from such cooperation (in English);

- 9) information on the data management plan concerning data generated or used in the course of a research project, as required by the proposal (*in English*);
- 10) information on the ethical aspects of the project, including any consents, opinions, permits and/or approvals necessary to carry out the project pursuant to generally applicable laws and best practices adopted for a specific academic discipline, as required by the proposal (in English);
- 11) in the case of research projects including clinical trials with a medicinal product or a medical device, a detailed justification of the non-commercial nature of the trials (*in English*);
- 12) in the case of research projects covering research being performed or completed by the principal investigator, or with respect to which the principal investigator applies for funding under other NCN calls or from other sources, description of similar research tasks with reasons justifying the need to have them funded under the project (*in English*):
- 13) in the case of research projects to be carried out in Polish institutions that do not receive any operating support for research, information on research carried out over the last 2 years, together with a list of publications and information on research equipment and other instruments crucial to research (*in English*);
- 14) in the case of research projects to be carried out by a group of Polish entities, an agreement on collaboration for the purposes of completion of the requested research project, as required by the proposal (*in Polish*);
- 15) administrative declarations by the principal investigator and the Polish host institution for the project, as required by the proposal; and
- 16) in the case of entities for which project funding will constitute state aid, a detailed breakdown of costs as well as other documents and declarations related to the proposal for state aid via the NCN, as required by the proposal (*in Polish*).

IV. Evaluation of proposals

- §14. Proposals shall be evaluated pursuant to the Regulations.
- §15. In the evaluation of OPUS proposals, the following criteria shall be reviewed in particular:
 - compliance with the criterion of basic research laid down in Article 2 (1) of the NCN Act,
 - 2) quality of research and innovative nature of research or tasks to be carried out,
 - 3) project's impact on the advancement of the scientific discipline,
 - 4) evaluation of feasibility of research.
 - 5) research achievements of the principal investigator, including publications in renowned academic press/journals,
 - 6) evaluation of other projects carried out by the principal investigator and funded by the NCN or from other sources,
 - 7) justification of the costs as regards the subject and scope of research and
 - 8) development of the proposal and compliance with other requirements of the call announcement.
- §16. In the evaluation of OPUS-LAP proposals, the following criteria shall be reviewed in particular:
 - compliance with the criterion of basic research laid down in Article 2 (1) of the NCN Act:
 - 2) quality of research and innovative nature of research or tasks to be completed;
 - 3) project's impact on the advancement of the scientific discipline;
 - 4) evaluation of feasibility of the project's completion;
 - 5) equal and complementary contribution to the research project by all research teams applying for funding under the LAP cooperation;

- 6) research achievements of the principal investigators, including publications in renowned academic press/journals;
- 7) evaluation of other projects carried out by the principal investigator of the Polish part of the research team in other projects that have already been funded by the NCN and from other sources as well as evaluation of other research projects completed by the principal investigators;
- 8) justification of the costs as regards the subject and scope of research; and
- 9) development of the proposal and compliance with other requirements of the call announcement.

V. Funding decision

- §17. In the case of OPUS proposals, the call shall be concluded within 6 months of the proposal submission date.
- §18. In the case of OPUS LAP proposals, the funding decision shall be taken within 11 months of the proposal submission date, depending on the date the respective partner institutions of the foreign research teams confirm the results of merit-based evaluation performed by the NCN.
- §19. The results of the call shall be announced by way of a decision of the NCN Director.

Prof. Dr hab. Jacek Kuźnicki

President of the Council of the National Science Centre

The English version of this Resolution does not constitute a sworn translation and has been prepared as an auxiliary document for your convenience. In case of any doubts as to the interpretation of its provisions, the Polish version shall prevail.