### Order No 20/2021

### of 17 March 2021

# by the Director of the National Science Centre

on the detailed procedure for Merit-Based Evaluation of Pre-Proposals in the international M-ERA.NET 3 Call 2021 for research projects launched as multilateral collaboration UNISONO under the M-ERA.NET 3 programme

Pursuant to Article 30 (3) of the Act on the National Science Centre of 30 April 2010 (Journal of Laws of 2019, item 1384) and pursuant to § 2 (3) of the Organisational Regulations of the National Science Centre's Office and according to the tasks of the Scientific Coordinators of the National Science Centre laid down in Order No 82/, it is hereby decided as follows:

§ 1.

The detailed procedure for Merit-Based Evaluation of Pre-Proposals by the Expert Team in the M-ERA.NET 3 Call 2021 is hereby laid down and attached hereto as Annex 1.

§ 2.

The work of the Expert Team established to evaluate proposals under the M-ERA.NET 3 Call 2021 launched on 15 March 2021 is organised pursuant hereto.

§ 3.

The Order shall come into force on the date of signing.

Director of the National Science Centre

Annex 1 to
Order No 20/2021
of 17 March 2021
of the Director
of the National Science Centre
on the detailed procedure for
Merit-Based Evaluation of PreProposals in the international MERA.NET 3 call

The Order lays down the detailed procedure for evaluation of proposals by the Expert Teams under M-ERA.NET 3 Call 2021 for international research projects in the area of material science and material engineering.

§ 1.

Whenever this Order refers to:

- 1. Council, it shall mean the Council of the National Science Centre:
- 2. Director, it shall mean the Director of the National Science Centre;
- 3. Coordinator, it shall mean a Scientific Coordinator employed by the National Science Centre:
- 4. Expert, it shall mean an Expert Team member;
- 5. Pre-Proposal, it shall mean a proposal drafted by a Polish research team(s) jointly with foreign research team(s) and submitted via the M-ERA.NET Proposal Submission Platform available to the National Science Centre;
- 6. Recommendations for NCN Pre-Proposals, they shall mean Experts' recommendations for Pre-Proposals submitted under the M-ERA.NET 3 Call 2021 jointly with the Polish research teams, whether or not to allow the submission of Full Proposals:
- 7. Merit-Based Evaluation (national level), it shall mean an evaluation of Pre-Proposals by an agency awarding funding for research tasks to be carried out by an entity applying for funding from an agency incorporated in the M-ERA.NET 3 consortium. The evaluation procedure (national level) shall be laid down in accordance with the terms of the respective agency;
- 8. Full Proposal, it shall mean a proposal drafted by a Polish research team(s) jointly with foreign research team(s) and submitted via the M-ERA.NET Proposal Submission Platform following positive recommendations as a result of evaluation (national level) and evaluation by the entire M-ERA-NET consortium;
- Evaluation of Full Proposals, it shall mean a Merit-Based Evaluation performed by the international Expert Team pursuant to the rules laid down in the M-ERA.NET 3 Call 2021 Guide for Proposers;
- 10. M-ERA.NET 3, it shall mean a network of public European and associated organisations established to fund and support research covered by the subjects of the M-ERA.NET 3 call; and
- 11. Call Secretariat, it shall mean the M.ERA.NET 3 Call 2021 Secretariat, i.e. Austrian Research Promotion Agency (FFG), with its registered office at 1090 Wien, Sensengasse 1, Austria.

### § 2. Coordinators

The duties of the Coordinators shall include:

- 1. running eligibility checks on Pre-Proposals and Full Proposals;
- 2. naming Experts to draft individual Merit-Based Evaluations of Pre-Proposals
- 3. referring Pre-Proposals for Merit-Based Evaluation:

- 4. assessing the accuracy and impartiality of individual reviews drafted by the Experts;
- 5. providing the Call Secretariat with Experts' recommendations and
- 6. providing the Director with recommendations on the ranking lists of Full Proposals recommended for funding issued by the M-ERA.NET 3.

## § 3. Experts

The duties of the Experts shall include drafting individual Merit-Based Evaluations of Pre-Proposals assigned by the Coordinator.

## § 4. Evaluation of proposals

- 1. Under M-ERA.NET 3 Call 2021, proposals shall be submitted in a two-step procedure proposal submission by the international consortium leader. As the first step, international research teams shall submit a Pre-Proposal. As the second step, they are requested by the Call Secretariat to submit Full Proposals. Both Pre-Proposals and Full Proposals shall be subject to an eligibility check and Merit-Based Evaluation.
- 2. An eligibility check of Pre-Proposals and Full Proposals shall be carried out by the Call Secretariat and at the national level.
- 3. An eligibility check of Pre-Proposals and Full Proposals (national level) shall be carried out by the Coordinators.
- 4. The research funding agencies participating in the M-ERA.NET 3 Call 2021 shall be in charge of Merit-Based Evaluation of Pre-Proposals (national level).
- 5. As the second step, only proposals recommended by the entire M-ERA.NET 3 consortium based on the evaluation of Pre-Proposals shall be submitted.
- 6. Full Proposals shall be subject to a Merit-Based Evaluation of the international Expert Team established by the research funding agencies participating in the M-ERA.NET 3 Call 2021.

## §5. Merit-based Evaluation (national level) of Pre-Proposals

- 1. A Merit-based Evaluation (national level) of Pre-Proposals at the National Science Centre shall be conducted by the Experts assigned by the Coordinators in charge of the M-ERA.NET 2 Programme.
- 2. A Merit-Based Evaluation of the National Science Centre shall be open only to Pre-Proposals approved as eligible by the Coordinators.
- 3. A Merit-Based Evaluation of Pre-Proposals at the National Science Centre shall consist of individual reviews made by three Experts independently, based on the evaluation criteria laid down by the M-ERA.NET 3 consortium. The evaluation form of Pre-Proposals is annexed to the call announcement.
- 4. The Experts shall evaluate the compliance with the basic research criterion applicable to the tasks of the Polish part of the project.
- The Merit-Based Evaluation (national level) at the National Science Centre shall be performed based on the information in the Pre-Proposal submitted via the M-ERA.NET Proposal Submission Platform.
- 6. The Experts may reject the proposal as ineligible in the course of evaluation (national level).
- 7. If a proposal has been given the score of "no" by at least two Experts in the following criterion of the evaluation form: "Does the project meet the criteria of basic research?", it shall not be recommended for the second stage of the M-ERA.NET 3 Call 2021, i.e. submission of the Full Proposal.
- 8. A proposal shall be recommended for the second stage of the call if it meets all of the following conditions:

- 1) it has been given the score of "yes" by at least two Experts evaluating the proposal in the following criterion of the evaluation form: "Does the research carried out by a person designated for the research activity meet the criterion of basic research?";
- 2) it has been given at least two of the following final grades by the Experts: A+ (excellent), A (very good) or B (good);
- 3) it has been given positive recommendations by all agencies providing funding for the foreign research teams jointly submitting their Pre-Proposals,
- 4) the international consortium leader has received a positive recommendation from the research funding agency;

# §6. Recommendations for NCN Pre-Proposals

- 1. The Coordinators shall submit their recommendations for Pre-Proposals to the Call Secretariat.
- 2. The final decision whether or not to allow the Full Proposal for the seconds stage of the call shall be taken by the M-ERA.NET 3 consortium based on the recommendations and budgets of all partner countries.