

**“BEETHOVEN 2” – Polish-German Funding Initiative**

**Call for proposals 2016**

**INFORMATION FOR APPLICANTS**

A complete Beethoven 2 proposal consists of the joint project description (please use the template provided), the CVs of the Principal Investigators and the Co-Investigators as well as supplementary documents as needed. The proposal must be written in English. There is a strict limit of 21 pages for the joint project description (font size: 11 or 12, line spacing: 1.15). Applicants are obliged to ensure that the proposal contains sufficient information for evaluation.

Criteria	GERMAN APPLICANTS	POLISH APPLICANTS
<b>I CORE DATA</b>		
<b>Subject Classification</b>	<p>German applicants are asked to refer to DFG’s subject structure (DFG-Fachsystematik: <a href="http://www.dfg.de/en/dfg_profile/statutory_bodies/review_boards/subject_areas/index.jsp">http://www.dfg.de/en/dfg_profile/statutory_bodies/review_boards/subject_areas/index.jsp</a>).</p> <p>Proposals are accepted in the subjects 101-113, 301-312, 406 (please refer to DFG’s subject classification). Applicants who wish to pursue a project which has a clear focus in the Social Sciences, Humanities, Chemistry, Mathematics, Physics or Materials Science but falls in a different section of DFG’s subject classification are advised to contact DFG well before the deadline. Please note that proposals will not be accepted in subjects that are covered by an open BMBF (Federal Ministry of Education and Research) call.</p>	<p>Polish applicants must refer to NCN’s subject areas described in <i>Uchwała Rady NCN nr 62/2016 z dnia 7 lipca 2016 r.</i> Proposals are accepted in the subjects covered by the panels HS1, HS2, HS3, HS4, HS5, HS6 and ST1.1-1.14, ST1.16-1.17, ST2.1-2.9, ST2.11-2.12, ST2.14-2.18, ST 3.1-3.18, ST 4.1-4.17, ST 5.1-5.24 and ST 9.1.-9.18 (please refer to NCN’s panel list).</p>
<b>Duration</b>	Applicants may seek funding for projects with a duration of <b>24 or 36 months</b> . It is expected that the projects will start in January 2018.	

## II DESCRIPTION OF THE RESEARCH PROJECT

<b>Joint research team</b>	<p>Please indicate the two Principal Investigators (one Polish PI and one German PI) and the Co-Investigators. There is no optimum number of researchers taking part in the project. All partners listed in this section should have a significant role in the research and their presence as members of the research team should be justified. For all Principal Investigators and Co-Investigators, CVs should be attached.</p> <p>a) A <b>Principal Investigator</b> is a researcher responsible for carrying out research and managing the research team. S/he is also in charge of leading the project activities at her/his host institution. There are two PIs involved in each project, one from the German and one from the Polish side.</p> <p>b) A <b>Co-Investigator</b> is a researcher holding a PhD degree (or equivalent) who is involved in the project but does not play the role of Principal Investigator. Unlike <b>Cooperation Partners</b>, Co-Investigators apply for funds from DFG or NCN.</p> <p>PhD candidates to be employed within a Beethoven 2 project should not be entered as Co-Investigators. Please note, however, that the number of PhD positions for which funds are requested should be indicated in the budget table (see section IV of this document).</p>	
		<ol style="list-style-type: none"> <li>1. The Principal Investigator (PI):             <ol style="list-style-type: none"> <li>a. must have at least a PhD degree when submitting a proposal;</li> <li>b. must be employed in a Polish Host Institution when submitting the proposal;</li> <li>c. may not submit proposals under calls for proposals with the same deadline as “Beethoven 2”;</li> <li>d. may take that role in only one proposal submitted within this call for proposal.</li> </ol> </li> <li>2. Eligible Host Institutions:             <ol style="list-style-type: none"> <li>a. academic or research organisations,</li> <li>b. research consortia,</li> <li>c. scientific networks and university organisational institutions which are not basic organisational institutions,</li> <li>d. Polish Academy of Sciences research centres,</li> <li>e. university research centres,</li> <li>f. scientific libraries,</li> <li>g. entrepreneurs with the status of research and development centre,</li> <li>h. organisational institutions having legal personality which have been established on Polish territory.</li> </ol> </li> </ol>

		<p>3. Under this call for proposals the NCN will not offer grants that would be considered state aid.</p> <p>4. A Host Institution which does not receive a subsidy from the budget for science should attach to the proposal information concerning:</p> <ol style="list-style-type: none"> <li>a. research carried out within 2 years prior to the submission of the proposal together with a list of the publications by researchers employed in this institution;</li> <li>b. research infrastructure which ensures successful research performance.</li> </ol>
<b>Cooperation Partners</b>	Please list all academic collaborators who are <b>not</b> funded through the project. Such collaboration partners can (but need not) be based outside Germany or Poland. <b>You do not need to attach CVs for cooperation partners.</b>	
<b>State of the art and preliminary work</b>	<p>Please explain briefly and precisely the state of the art in your field and its direct relationship to your project. This description should make clear in which context you situate your own research and in what areas you intend to make a unique, innovative and promising contribution. To illustrate and enhance your presentation, you may refer to others' and your own publications. Please list all cited publications in the main reference list under section II.8. of the joint project description template. This reference list is not considered your list of publications. German applicants who list an unpublished manuscript must include a copy in their proposal. Polish applicants may not list or submit unpublished manuscripts.</p> <p>Note that reviewers may, but are not required to, read any of the works you cite or include. The description of the state of the art must be understandable without consulting additional literature.</p>	
<b>Objectives</b>	<p>Please give a concise description of your project's scientific objectives with particular attention to the innovative nature of the research project and its importance for the development of science.</p> <p>Please indicate if you anticipate results that may be relevant outside academia (such as science policy, technology, the economy or society).</p>	
<b>Work programme including proposed research methods and added value of international</b>	<p>The quality of the work programme is critical to the success of a funding proposal.</p> <p>The work programme must include:</p> <ul style="list-style-type: none"> <li>• a detailed account of the steps planned during the proposed funding period (for experimental projects, a schedule detailing all planned experiments should be provided);</li> <li>• a detailed description of the methods that you plan to use in the project: what methods are already available? What methods need to be developed? What assistance is needed from outside your own group/institute?;</li> <li>• information on each participant's contribution to the project work;</li> <li>• a description of the added value of the proposed international cooperation; note that a high level of integration will be a necessary condition for funding within the present joint funding initiative.</li> </ul>	

<b>cooperation</b>	Please note that the work programme should correspond with the financial sections IV.1 and IV.2. of the joint project description template.	
<b>References</b>	Please list all publications cited in sections II.5, II.6 and II.7 of the joint project description template.	
<b>III FURTHER INFORMATION</b>		
<b>Host Institution</b>	Please describe the Host Institution's commitment to the project, i.e. whether it provides access to facilities, scientific equipment and other resources necessary to implement the proposed research.	
		Polish applicants should note that the administrative personnel costs have to be covered from overheads. For detailed information please refer to: <i>Koszty w projektach badawczych finansowanych przez NCN w konkursie „BEETHOVEN 2”, attached to Uchwała nr 62/2016 z dnia 7 lipca 2016 r. Rady NCN w sprawie warunków oraz regulaminu przyznawania środków na realizację zadań finansowanych przez NCN w konkursie „Beethoven 2” na polsko-niemieckie projekty badawcze.</i>
<b>Ethical issues</b>	If there are any ethical issues raised in the research proposal, please describe how they are addressed	
	If applicable, German applicants must include an ethics committee vote from the institution within which their research will be carried out. For more information about the conditions under which an ethics committee vote is necessary, please consult the FAQs under <i>Informationen für Geistes- und Sozialwissenschaftler/innen</i> : <a href="http://www.dfg.de/foerderung/faq/geistes_sozialwissenschafter/index.html">http://www.dfg.de/foerderung/faq/geistes_sozialwissenschafter/index.html</a>	Polish applicants should also consider NCN regulations on research integrity set up by <i>Uchwała Rady NCN nr 29/2016 z dnia 11 maja 2016 w sprawie przyjęcia zasad rzetelności badań naukowych</i> , and section G in <i>Zakres danych wymaganych we wniosku o finansowanie projektu badawczego w konkursie „Beethoven 2” na polsko-niemieckie projekty badawcze</i> attached to <i>Uchwała nr 62/2016 z dnia 7 lipca 2016 r. w sprawie warunków oraz regulaminu przyznawania środków na realizację zadań finansowanych przez NCN w konkursie „Beethoven 2” na polsko-niemieckie projekty badawcze.</i>

<p><b>First time proposal data (DFG applicants only)</b></p>	<p>If a PI or a Co-I is an early career researcher, a German researcher returning from abroad or returning to research after working in industry or taking a career break for family reasons applying for DFG funds for the first time ("<u>Erstantragstellende/r</u>"), <b>please indicate briefly that applicant's individual contribution to the research project. You need not repeat the complete details from section II.</b> It notifies the reviewers evaluating the proposal to take particular account of the applicant's personal potential and the quality of the proposed project and to attribute less weight to project-specific preliminary work or publications.</p>	
<p><b>Ongoing or past cooperation with other researchers</b></p>	<p>Please list researchers with whom you have collaborated scientifically within the past 3 years and those with whom you have agreed to cooperate on this proposal. This information will assist the DFG and NCN scientific officers in avoiding potential conflicts of interest during the review process.</p>	
<p><b>IV BUDGET</b></p>		
<p><b>Justification of requested modules/funds</b></p>	<p>You can apply for the following modules: Basic Module (Basismodul) for staff, consumables, travel, visiting researchers etc.; Temporary Positions for P.I. or Co.I. (Eigene Stelle); Replacements (Vertretung); Mercator-Fellow; Project specific workshops (Projektspezifische Workshops); Public Relations (Öffentlichkeitsarbeit). Explain each item for each applicant (stating last name, first name). Follow the outline given in the relevant <u>Guidelines (Leitfäden) documents</u>: <a href="http://www.dfg.de/foerderung/programme/einzelfoerderung/sachbeihilfe/formulare_merkblaetter/index.jsp">http://www.dfg.de/foerderung/programme/einzelfoerderung/sachbeihilfe/formulare_merkblaetter/index.jsp</a> on</p>	<p>You can apply for the following cost items: Personnel, Equipment, Consumables, Outsourcing/Subcontracting, Travel and Subsistence Costs, Participation in Project Meetings and Seminars, Other costs (including publication costs), Administrative Overheads (max. 30%) PLEASE NOTE:</p> <ol style="list-style-type: none"> <li>1. The Euro exchange rate should be calculated according to the NCN Council's Resolutions No. 62/2016, which amounts to 4,438.</li> <li>2. The budget of the Polish part of the Polish-German research project must be at least PLN 150 000.</li> <li>3. Applicants may seek funding for research equipment up to PLN 150 000 for a single item in the area of Arts, Humanities and Social Sciences, and up to</li> </ol>

	<p>DFG's General Research Grants Programme (Sachbeihilfe). Note that there are both general guidelines (50.01) as well as specific guidelines for each individual module (52.01 – 52.07). See also the information on personnel rates (60.12) as well as the information on the payment of doctoral students (55.02).</p>	<p>up to PLN 500 000 in the area of Chemistry, Mathematics, Physics and Astronomy.</p> <p>When preparing the project budget, Polish applicants must adhere to the rules included in the following document: <i>Koszty w projektach badawczych finansowanych przez NCN w konkursie „BEETHOVEN 2”</i> attached to <i>Uchwała nr 62/2016 z dnia 7 lipca 2016 r. w sprawie warunków oraz regulaminu przyznawania środków na realizację zadań finansowanych przez NCN w konkursie „Beethoven 2” na polsko-niemieckie projekty badawcze.</i></p> <ol style="list-style-type: none"> <li>4. The budget may include granting of scholarships for pre-doctoral students provided they meet the rules included in the following document:             <ol style="list-style-type: none"> <li>a. <i>Uchwała nr 62/2016 z dnia 7 lipca 2016 r. w sprawie warunków oraz regulaminu przyznawania środków na realizację zadań finansowanych przez Narodowe Centrum Nauki w konkursie „Beethoven 2” na polsko-niemieckie projekty badawcze.</i></li> <li>b. <i>Załącznik do uchwały Rady NCN 50/2013 z dnia 3 czerwca 2013 r. w sprawie określenia Regulaminu przyznawania stypendiów naukowych dla młodych naukowców w projektach badawczych oraz regulaminu przyznawania stypendiów naukowych dla młodych naukowców w ramach stypendiów doktorskich ETIUDA finansowanych ze środków Narodowego Centrum Nauk (<a href="https://ncn.gov.pl/sites/default/files/pliki/uchwalyrady/2013/uchwala_50_2013.pdf">https://ncn.gov.pl/sites/default/files/pliki/uchwalyrady/2013/uchwala_50_2013.pdf</a>)</i></li> </ol> </li> <li>5. Overhead costs must not exceed a maximum of 30% of the eligible costs (excl. equipment) and may not be increased during the course of the research project.</li> <li>6. Applicants may not seek funding for administrative personnel. Such costs are included in overheads.</li> </ol> <p>Please confirm that the research tasks performed by the Polish research team included in the proposal have not been funded from other sources (Please see <i>Zakres danych wymaganych we wniosku o finansowanie projektu badawczego w konkursie BEETHOVEN 2”</i> attached to <i>Uchwała nr 62/2016 z dnia 7 lipca 2016 r. w sprawie warunków oraz regulaminu przyznawania środków na</i></p>
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<b>V CVs INCLUDING PUBLICATION LISTS</b>		
	<p>In a <u>separate, single file</u>, please provide CVs for the Principal Investigators and Co-Investigators, 3 pages max. per person. Each individual CV should include a list of the 10 most relevant publications (max.), obtained grants and prizes. Please upload the file along with the other documents via the OSF/ELAN submission system.</p>	
<b>VI PROPOSAL SUBMISSION</b>		
	<p>All proposals must be submitted both to the NCN proposal submission system OSF and to the DFG via proposal submission system ELAN by 15 Dec 2016.</p>	
	<p>The DFG submission website (ELAN) can be found at: <a href="http://elan.dfg.de">http://elan.dfg.de</a>.</p> <p>Please select the appropriate <b>call</b> in the ELAN system, depending on the subject of your proposal:</p> <ul style="list-style-type: none"> <li>• “<b>DFG-NCN 2016 Natural Sciences</b>”: proposals in <b>Chemistry, Mathematics, Physics and Material Sciences</b></li> <li>• “<b>DFG-NCN 2016 Humanities and Social Sciences</b>”: proposals in <b>Humanities and Social Sciences</b></li> </ul> <p>Please note that when submitting the proposal via ELAN, the Polish</p>	<p>The NCN submission website can be found at: <a href="https://osf.opi.org.pl">https://osf.opi.org.pl</a>.</p> <p>In order to prevent server overload towards the end of the submission period, it is advisable to upload your proposal well before the deadline.</p> <p><b>A hard copy of the proposal must also be sent by 15 December 2016</b> to Narodowe Centrum Nauki, ul. Królewska 57, 30-081 Kraków (the deadline for sending the hard copy is considered to be met if a proposal is sent with a postmark of the Poczta Polska S.A. postal operator by 15 December 15, 2016).</p>

	<p>Principal Investigators and Co-Investigators must, for technical reasons, be entered as “participating individuals”. Within ELAN, only those who apply for funds <i>from DFG</i> count as “applicants”.</p> <p>Please also note that in order to prevent server overload towards the end of the submission period, it is advisable to upload your proposal well before the deadline.</p> <p><b>Applicants who have not previously applied for DFG funds have to open an ELAN account at least 2 working days prior to the submission date.</b></p> <p>Detailed information about ELAN is available at:  <b>elan-Flyer:</b>  <a href="http://www.dfg.de/download/pdf/foerderung/antragstellung/elan/flyer_eant_en.pdf">http://www.dfg.de/download/pdf/foerderung/antragstellung/elan/flyer_eant_en.pdf</a> and  <b>elan-FAQ:</b>  <a href="http://www.dfg.de/download/pdf/foerderung/antragstellung/elan/faq_eant_en.pdf">http://www.dfg.de/download/pdf/foerderung/antragstellung/elan/faq_eant_en.pdf</a>.</p>	
<b>VII CALL DOCUMENTS</b>		
	<ol style="list-style-type: none"> <li>1) <i>Call Text</i></li> <li>2) <i>Joint Project Description Template</i></li> <li>3) <i>Information for Applicants</i></li> <li>4) <i>Evaluation Sheet for Reviewers</i></li> </ol>	<p>5) <i>Uchwała Rady NCN nr 62/2016 z dnia 7 lipca 2016 r. w sprawie warunków oraz regulaminu przyznawania środków na realizację zadań finansowanych przez Narodowe Centrum Nauki w konkursie „Beethoven 2” na polsko-niemieckie projekty badawcze.</i></p>
<b>VIII CONTACT</b>		



	<p><b>GERMANY, DFG:</b></p> <p><b>Humanities and Social Sciences:</b></p> <p><b>Dr Tanja Kollei</b> Humanities and Social Sciences e-mail: <a href="mailto:tanja.kollei@dfg.de">tanja.kollei@dfg.de</a> tel: +49 228 885-2972</p> <p><b>Chemistry, Mathematics, Physics:</b></p> <p><b>Barbara Bauer-Marx</b> Chemistry and Engineering Sciences 2 e-mail: <a href="mailto:barbara.bauer-marx@dfg.de">barbara.bauer-marx@dfg.de</a> tel: +49 228 885-2780</p> <p><b>Administrative inquiries:</b></p> <p><b>Bettina Schilling</b> e-mail: <a href="mailto:bettina.schilling@dfg.de">bettina.schilling@dfg.de</a></p> <p><b>For further information on cooperation with Poland:</b></p> <p><b>Annina Lottermann</b> International Affairs e-mail: <a href="mailto:annina.lottermann@dfg.de">annina.lottermann@dfg.de</a> phone: +49 228 885-2802</p>	<p><b>POLAND, NCN:</b></p> <p><b>Humanities and Social Sciences:</b></p> <p><b>Dr Anna Marszałek</b> Arts, Humanities and Social Sciences e-mail: <a href="mailto:anna.marszalek@ncn.gov.pl">anna.marszalek@ncn.gov.pl</a> tel: +48 12 341 91 70</p> <p><b>Chemistry, Mathematics, Physics:</b></p> <p><b>Dr Marzena Oliwkiewicz-Mikłasińska</b> Physical Sciences and Engineering e-mail: <a href="mailto:marzena.oliwkiewicz@ncn.gov.pl">marzena.oliwkiewicz@ncn.gov.pl</a> tel.: +48 12 341 91 51</p> <p><b>Other inquiries:</b></p> <p><b>Anna Plater-Zyberk</b> Dział Współpracy Międzynarodowej e-mail: <a href="mailto:anna.plater@ncn.gov.pl">anna.plater@ncn.gov.pl</a> tel.: +48 12 341 9016</p>
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